



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE APPLICATION OF : Tanaka et al.
FOR : **ULTRA-FAST TRANSFORMATION
TECHNIQUE FOR MONOCOTYLEDONS**
SERIAL NO. : 09/914,546
FILED : August 28, 2001
ART UNIT : 1638
CONFIRMATION NO. : 9731
ATTORNEY DOCKET NO. : YAMZ 2 00010

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicant submits the following Disclosure Statement concerning art of which the applicant is aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed require(s) no further explanation (or no translation is available).

Consideration of the appropriate paragraph(s) indicated below is respectfully requested:

☐ Under § 1.97(b)(1), this Information Disclosure Statement is being filed

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within three months of the filing date of the application. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

☐ Under § 1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

☐ Under § 1.97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

☒ Under § 1.97(c)(2), this information shall be considered if filed before the mailing date of a final action if accompanied by a fee in the amount of \$180.00 as required by §1.17(p). Accordingly, the necessary fee accompanies this Information Disclosure Statement. Any overpayment or deficiency can be charged to Deposit Account No. 06-0308.

It is respectfully requested that the attached document(s) be considered and officially cited in examination of this application.

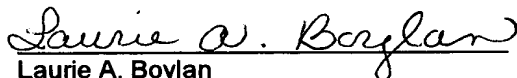
Respectfully submitted,
FAY, SHARPE, FAGAN,
MINNICH & McKEE, LLP

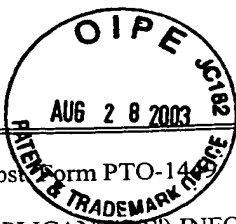


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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop: Amendment - Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 25, 2003.


Laurie A. Boylan



Subs. Form PTO-14 APPLICANT(S) INFORMATION DISCLOSURE STATEMENT	Atty.Dkt.No: YAMZ 2 00010	Serial No.: 09/914,546
	Applicant(s): Tanaka et al.	
	Filing Date: August 28, 2001	Group: 1638

U.S. PATENT DOCUMENTS

Initial *		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA	5,569,597	10/1996	Grimsley et al.			
	AB						
	AC						
	AD						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	AE	0 897 013 A1		Europe			
	AF						
	AG						
	AH						

OTHER ART

AI	Hiei, Y. et al., <i>The Plant Journal</i> , 6(2):271-282 (1994)
	Raineri, D.M. et al., <i>Bio/Technology</i> , 8:33-38 (1990)
	Chan, et al., <i>Plant Molecular Biology</i> , 22:491-506 (1993)
AJ	Aldemita, R.R., et al., <i>Planta</i> , 199:612-617 (1996)
	Uze, M. et al., <i>Plant Science</i> , 130:87-95 (1997)
	Zhang, J. et al., <i>Rice Genetics III</i> , 697-702 (1995)
AK	Toki, S., <i>Plant Mol. Biol. Rep.</i> , 15:16-21 (1997)
AL	

Examiner:

Date Considered:

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.